

## Reporting for EOD Short Allocation of Collateral Implements from 01.08.2022 (NCL/CMPT/52986)

This is tentative workflow of Upload of EOD Short Allocation Client Wise

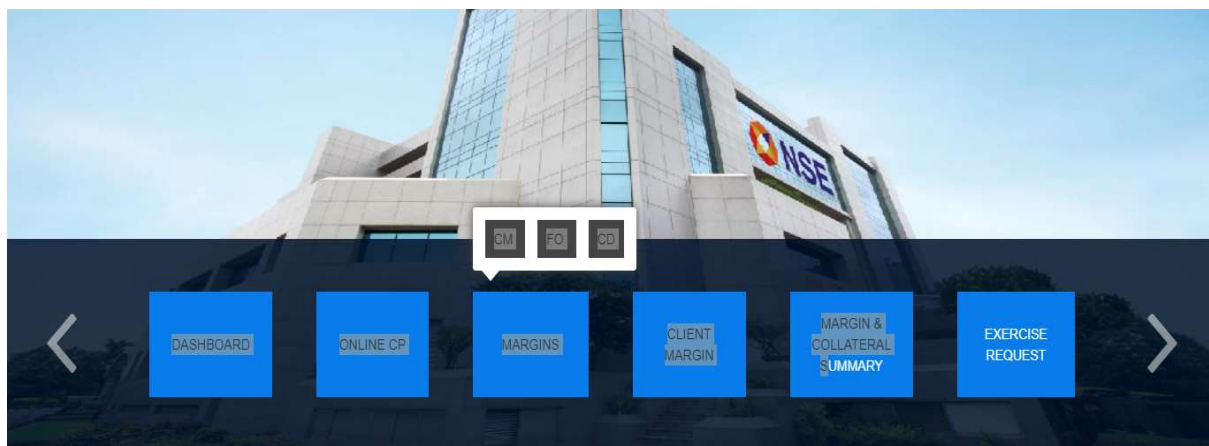


Collection / Download of margin files from N Mass login:-

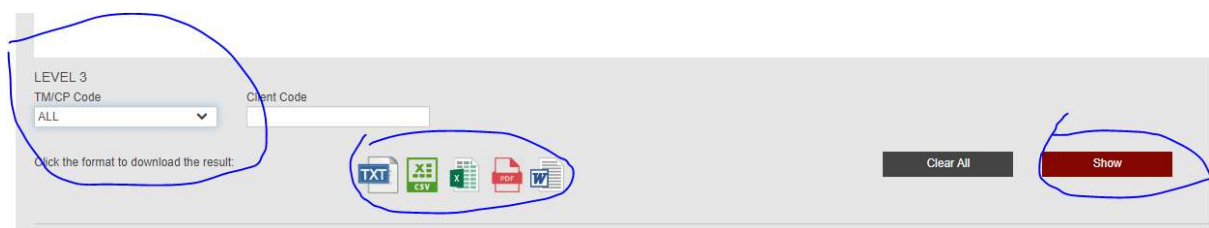
<https://www.connect2nsccl.com/auth/#/login>

After Successful login in NMASS

go to MARGINS TAB , You can download CM , FO & CD Margin files



1) CM margin files : After selection of CM options -> Go End of the page @ LEVEL 3 Select all and click **show** , csv file generated for CM margin

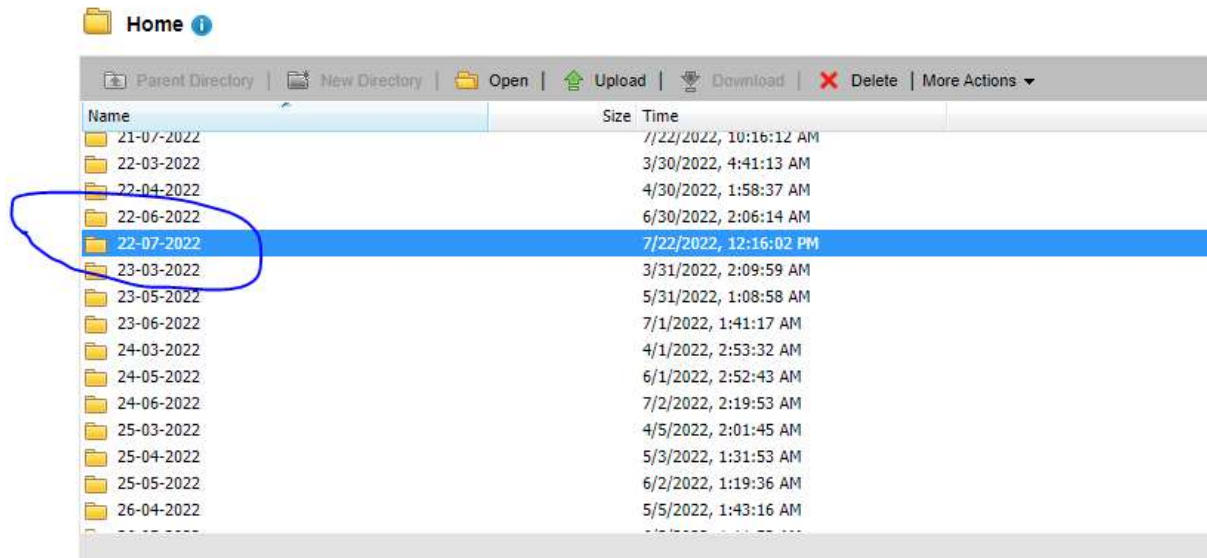


For F&O and CD margin files same process as above.

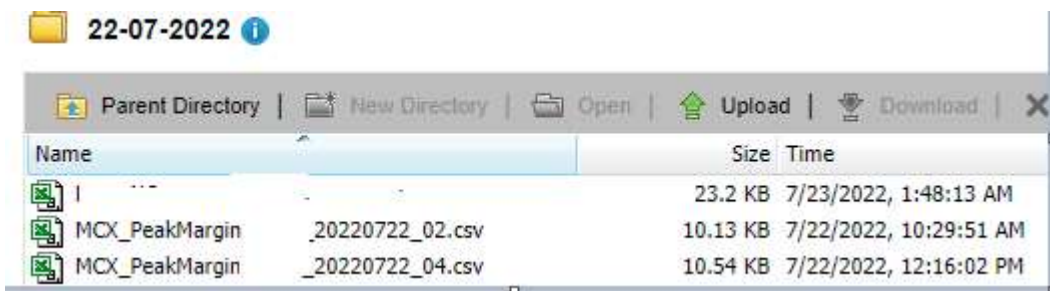
For MCX Margin files :

Click Link : <https://sftp.mcxindia.com/?Command=Login>

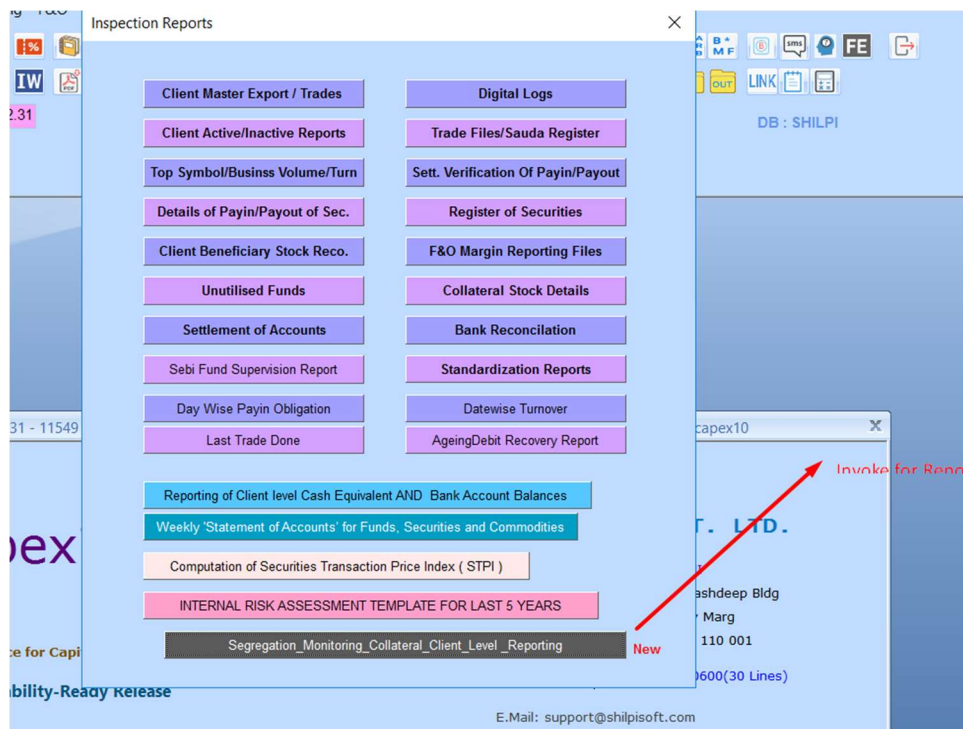
After login select the report dated folder



Download the **latest** margin file as below



**Workflow in Shilpi Applications**



Reporting of Segregation and Monitoring of Collateral at Client Level NSE/INSP/49348 (Ver 4.1)

Segment Selection: ☒ Capital ☐ Commodity

File Export For Clearing: ☒ Corporation ☐ Member ☐ Both With Margin ☐ 50/50

Include Commodity ☒ Bank Balance As Per Fin. Ldgr ☐

Exit

Calculation Parameters

Report Date: 22-07-2022

Margin Date: 22-07-2022

Margin Date FX: 22-07-2022

Margin Date Com: 22-07-2022

Closing Price: 21-07-2022

Variance From: MEMBERVAR

☒ Auto Inter Segment Fund Transfer

☐ Delete Auto Inter Segment Transfer

Fund Upload

Calculate

Eod CC Fund Import

Client Code For Auto Fund Delete

BGR/FDR Details

Scrip Master

Inter Segment Fund Export

Segment Wise Balance

Share Details Export

CM Clearing Member Pan No. AABC86140Q

FO Clearing Member Pan No. AABC86140Q

FX Clearing Member Pan No. AABC86140Q

CO Clearing Member Pan No. AABC86140Q

Exp CM /FO Only ☐

Exp CM /FO/CDS Only ☐

CASH ICCL AND FO/CDS NCL ☐

CUR ICCL AND FO/CDS NCL ☐

Exp CM Only ☐

Exp Fo Only ☐

Exp Curr Only ☐

Exp Comm Only ☐

Exchange

Cig. Member

Batch No. 01

Make Single Batch ☐

Margin Clients Only ☐

File Export Path

Deposit Export For Trading Day 25-07-2022

Client Monitoring Report

Deposit Export

IMP-->Before Calculate add all your deposit with CC/CM in BGR/FDR and Cash either it your fund or your client fund deposit to CC/CM and this amount is not to Be Greater than client Deposit Limit.

\*\*\*\*\* Before Uploading the Reports Please ensure :-

1. Your All Client bank account should be reconciled Up to Report Date
2. For SCM reports collaterals retained Value Column 20- 29 and Column 30-45 is zero
3. Client Collateral placed with CC shall be reported Client and PRO Separately
4. Cash Retain (22) With TM shall be clear balance (11) if client has Credit Balance
5. Fin Ldgr. Balance (10) includes EPI Credit Value (20) only for CM Segment
6. (25) Approved Securities Cash Component Shall be mark Cash Component 'Y' and Pledgeable Also in Scrip Master
7. MTF funded stocks/Ledger need not be reported, Only MTF Collateral Fund/Security needs to be reported under CM Segment.
8. Reporting to CC/CM should be segment wise TM-CM and CM-CC
9. Haircut rates shall be as per the Var file,other wise Broker Define Var (Retain By TM)

Parameters For Deposit Upload

☒ Add EPN Value Up to Margin

☐ Adjust Next Day Billing Amount

☐ Adjust Debit Bills Only

☐ Less Payment UnClearCheque

☒ Add Deposit UnClearCheque

☒ Add Margin Pledge Value up to Marg

Approve Securities/Cash Component List Click Here and go for 20 th day

NUM

Once you Click EOD FF Fund Imports Option given above Reports.

### Follow below Sequence of File Imports

- 1) Before calculation of (EOD) Day Export file , need to import all files @ **NMASS Margin File** above selection .
- 2) First import NMASS Margin File and then import Success file upload on NMASS Portal for day change fund.

Eod Clg Fund Import

Segment: FO

☒ NMASS Margin File

☐ ICCL Clearing

☐ RTRMS Margin File

☐ CC01 File

☐ Globe Capital File

☐ MCX Peak Margin File

☐ Delete ONLY CC01 and CC02

File Import Path:

Margin Date: 23-07-2022

Import Eod Fund /Margin

Success File Import

File Download Path : /FAOFTP/F<MEMBER CODE>/REPORTS for CC01 For TM and CC02 for CM

File Name : F\_CC02\_<MEMBER CODE>\_<DDMMYYYY>.csv

MCX File Name :MCX\_WebAllocationDeallocationmid\_YYYYMMDD.CSV

ICCL File Name :ClientAllocation\_memberid\_yyyymmdd.csv

- 3) After import NMASS Margin Files. You can change Segment FUND value on NMASS by Manually /File upload and API. If you have change any fund value in any segment add/deduct on NMASS, You can update also through EOD CC Fund Import → CC Margin view/edit by select code and segment and change new fund value and then save.
- 4) After 3.30 P.M. on the Trading Day and taken all file from NMASS . Please put all the vouchers received/payment from client and update DP37,Margin Pledge/Re-Pledge Files. You are require to calculate data not click on Adjust next Day billing.
- 5) For EOD Deposit upload . After Calculate data change the deposit export date to current trading ad make files as per segment wise and export. After export the file upload to NMASS Portal and get the success file.



4) For Next trading day deposit upload import CC01/CC02 File and upload the file import EOD/FUND MARGIN.

5) Now click on Adjust Next Day billing .

Reporting of Segregation and Monitoring of Collateral at Client Level NSE/INSP/49348 (Ver 4.1)

**Segment Selection**  
☒ Capital ☐ Commodity  
☒ Include Commodity  
☐ Bank Balance As Per Fin. Ldgr

**File Export For Clearing**  
☒ Corporation ☐ Member ☐ Both With Margin ☐ 50/50  
 Exit

**Calculation Parameters**  
 Report Date: 22-07-2022  
 Margin Date: 22-07-2022  
 Margin Date FX: 22-07-2022  
 Margin Date Com: 22-07-2022  
 Closing Price: 21-07-2022  
 Variance From: MEMBERVAR  
☒ Auto Inter Segment Fund Transfer  
☐ Delete Auto Inter Segment Transfer

**File Export For Clearing**  
 CM Clearing Member Pan No.: AABCB6140Q  
 FO Clearing Member Pan No.: AABCB6140Q  
 FX Clearing Member Pan No.: AABCB6140Q  
 CO Clearing Member Pan No.: AABCB6140Q  
 Exchange:   
 Clg. Member:   
 Batch No.: 01  
☐ Exp CM /FO Only  
☐ Exp CM /FO/CDS Only  
☐ CASH ICCL AND FO/CDS NCL  
☐ CUR ICCL AND FO/CDS NCL  
☐ Exp CM Only  
☐ Exp Fo Only  
☐ Exp Curr Only  
☐ Exp Comm Only  
☐ Make Single Batch  
**Margin Clients Only**

**Parameters For Deposit Upload**  
☒ Add EPN Value up to Margin  
☐ Adjust Next Day Billing Amount  
☐ Adjust Debit Bills Only  
☐ Less Payment UnClearCheque  
☒ Add Deposit UnClearCheque  
☒ Add Margin Pledge Value up to Marg

**Deposit Export For Trading Day** 25-07-2022

**Client Monitoring Report** **Deposit Export**

**Fund Upload** **Calculate** **Eod CC Fund Import**

Client Code For Auto Fund Delete:   
 BGR/FDR Details  
 Scrip Master  
 Inter Segment Fund Export  
 Segment Wise Balance  
 Share Details Export

**MP -> Before Calculate add all your deposit with CC/CM in BGR/FDR and Cash either it your fund or your client fund deposit to CC/CM and this amount is not to Be Greater than client Deposit Limit.**

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 8. Reporting to CC/CM should be segment wise TM-CM and CM-CC  
 9. Haircut rates shall be as per the Var file, other wise Broker Define Var (Retain By TM)

[Approve Securities/Cash Component List. Click Here and go for 20 th day](#)

NUM

Deposit Files can be Exported by Clicking on Deposit Export Button

5) Deposit Exported files upload @ N Mass portal ->> collateral management as per below  
 Using below NMASS Portal

**COLLATERAL MANAGEMENT** 19 Jan 2022 14:31:39

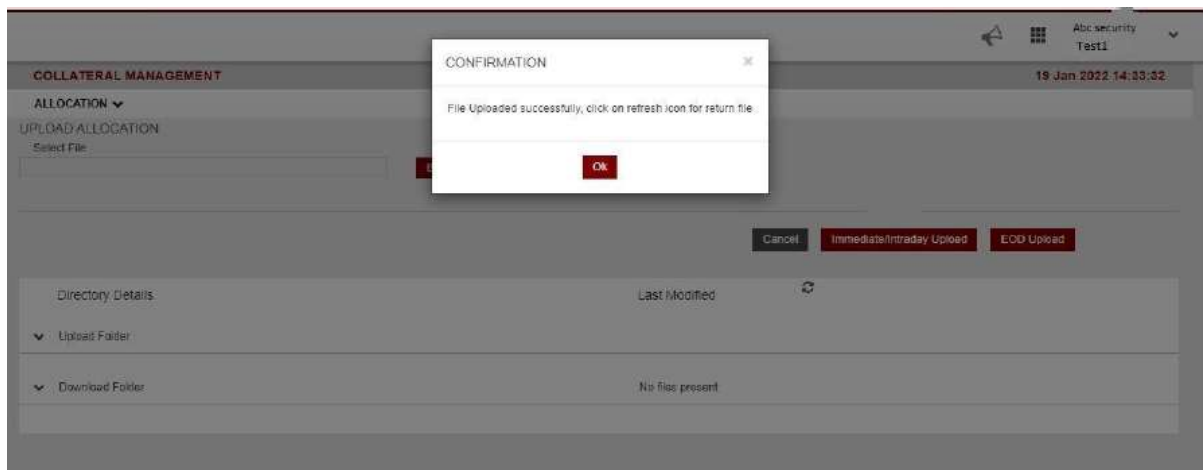
**ALLOCATION** ▼

**UPLOAD ALLOCATION**  
 Select File  
 test1\_ALLOC\_19012022.T0001 **Browse**

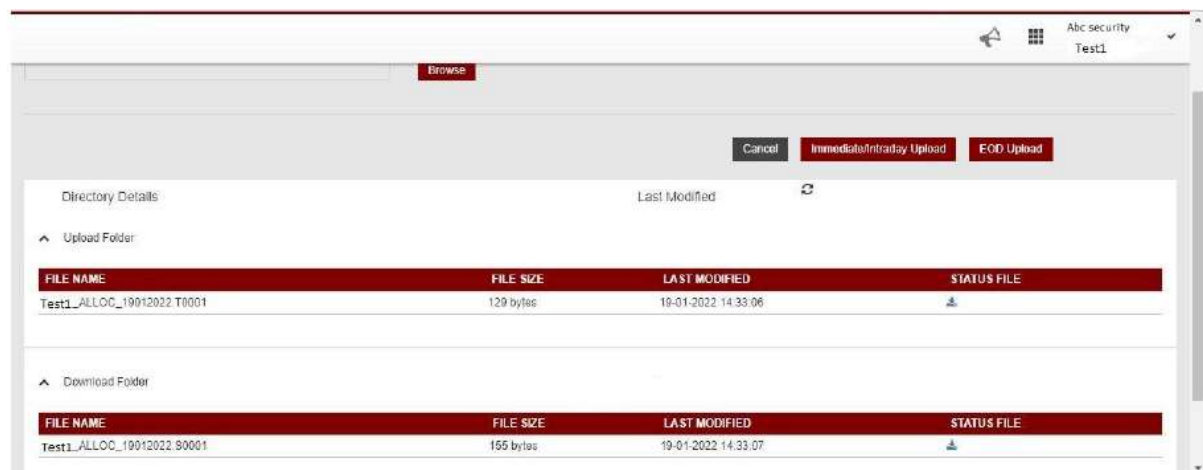
**Cancel** **Immediate/Intraday Upload** **EOD Upload**

Directory Details	Last Modified
Upload Folder	
Download Folder	

If file is uploaded successfully without any error, a popup message for success will be reflected.



Uploaded file will be displayed in 'Upload Folder' and response files with .S extension will be shown under 'Download Folder' tab.



E.g. Intermediate file – 'Test1\_ALLOC\_19012022.I<batch no>'

Final file - 'Test1\_ALLOC\_19012022.S<batch no>'

Final file shall replace intermediate file post successful generation of return file.

### Validations

- Response code shall be populated in the field "**Error Code**" in the status enquiry file.
- It shall be of below format
- First four characters (Field Identifier): refers to specific field or the entire message

Next characters (Validation code): refers to specific validation failure or success. Success code shall be populated only on successful acceptance of the message.

**For Error Codes please read user manual page number ( 7 -9 )**

**After Received Success response file import @ Shilpi software as per below**

Eod Clg Fund Import

Segment: FO

File Import F:

Margin Date: 23-07-2022

Import Eod Fund /Margin

Success File Import

File Download Path : /FAOFTP/F<MEMBER CODE>/REPORTS for CC01 For TM and CC02 for CM  
File Name : F\_CC02\_<MEMBER CODE>\_<DDMMYYYY>.csv  
MCX File Name :MCX\_WebAllocationDeallocationtmid\_YYYYMMDD.CSV  
ICCL File Name :ClientAllocation\_memberid\_yyyymmdd.csv

**Important Points :-**

- 1) N Mass margin files need to import.
- 2) Fund change to be update by option if any change done on NMASS Portal through EOD CC Fund Import → CC Margin view/edit by select code and segment and change new fund value and then save.
- 3) For pledge and Repledge value DP37 CDSL/View Margin/911 Unpledge NSDL files require to Update .
- 4) For EPI benefit require to update CDSL/NSDL files if any client deposit less then margin it adjust from EPI stock value.
- 5) If require file for NEXT day and doing process on Today require Tick on Next day Bill adjust for next trading day deposit export . IF same day EOD deposit then not require to adjust next day billing.

Reporting of Segregation and Monitoring of Collateral at Client Level NSE/INSP/49348 (Ver 4.1)

**Segment Selection**

☒ Capital ☐ Commodity

☒ Include Commodity

☐ Bank Balance As Per Fin. Ldgr

**File Export For Clearing**

☒ Corporation ☐ Member ☐ Both With Margin ☐ 50/50

Exit

**Calculation Parameters**

Report Date: 22-07-2022

Margin Date: 22-07-2022

Margin Date FX: 22-07-2022

Margin Date Com: 22-07-2022

Closing Price: 21-07-2022

Variance From: VAR

☒ Auto Inter Segment Fund Transfer

☐ Delete Auto Inter Segment Transfer

Fund Upload Calculate

Eod CC Fund Import

Client Code For Auto Fund Delete

BGR/FDR Details

Scrip Master

Inter Segment Fund Export

Segment Wise Balance

Share Details Export

Approve Securities/Cash Component List Click Here and go for 20 th day

CM Clearing Member Pan No. AABCB6140Q

FO Clearing Member Pan No. AABCB6140Q

FX Clearing Member Pan No. AABCB6140Q

CO Clearing Member Pan No. AABCB6140Q

Clg. Member Batch No. 01

File Export Path

Deposit Export For Trading Day 25-07-2022

Client Monitoring Report Deposit Export

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☒ Add EPN Value Up to Margin

☒ Adjust Next Day Billing Amount

☐ Adjust Debit Bills Only

☐ Less Payment UnClearCheque

☒ Add Deposit UnClearCheque

☒ Add Margin Pledge Value up to Marg

For More details, please go through Exchange circular & process manual